

**Financial Statement – Auditor’s Report
Form 4**
Municipal Elections Act, 1996 (Section 78)
Instructions

All candidates must complete Boxes A, B, C, D, E and F and Schedule 1. All candidates must complete Schedules 2, 3 and 4 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2010	09	08

 to

YYYY	MM	DD
2010	12	31

 Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)

 Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Name of Candidate

Last Name

Noble

First Name

Henry

Middle Initial

Mailing Address

Suite/Unit No.

Street No.

404

Street Name

Queensgrove Road

City/Town

Brockville

Province

On

Postal Code

K6V 5T3

Telephone No. (incl. area code)

Business

Home

613-345-5788

Fax No.

Email Address

hnrynbl@hotmail.com

Name of office for which the candidate sought election

Councillor

Ward Name or No. (if any)

Name of Municipality

Brockville

Box B: Summary of Campaign Income and Expenses

1. My spending limit (as issued by clerk) was - - - - -	\$ 19,798.50
2. Surplus (or deficit) from previous election - - - - -	\$
3. Total contributions received (from Schedule 1) - - - - -	\$ 12,716.36
4. My total campaign expenses that were subject to the spending limit were (from Box C) - - -	\$ 12,364.36
5. My total campaign expenses that were not subject to the spending limit were (from Box C) - -	\$ 452.00
6. Total of all campaign expenses (from Box C) - - - - -	\$ 12,816.36
7. Election campaign surplus/deficit from current election (from Box E) - - - - -	\$ 0.00
8. Contributions refunded to candidate or spouse (from Box E) - - - - -	\$
9. Amount paid to clerk (from Box E) - - - - -	\$

Box C: Statement of Campaign Period Income and Expenses

From YYYY	MM	DD	To YYYY	MM	DD	For Candidate
			2010	12	31	Henry Noble

INCOME

Candidate's surplus from immediately preceding election released by the clerk	+	\$	
Contributions from candidate	+	\$	12,166.36
Contributions from spouse of candidate	+	\$	
All other contributions	+	\$	550.00
Revenue from fund-raising functions not deemed a contribution (from Schedule 2, Part III)	+	\$	
Interest income	+	\$	
Other (provide full details)			
1. Refund of nomination fee	+	\$	100.00
2.	+	\$	
3.	+	\$	
Total Campaign Period Income	=	\$	12,816.36 C1

EXPENSES (Note: include the value of contributions of goods and services)

Expenses Subject to Spending Limit			
Advertising	+	\$	3,567.66
Bank charges	+	\$	35.85
Brochures	+	\$	3,804.48
Interest on loan	+	\$	
Inventory contributed to candidate's campaign (Schedule 3)	+	\$	
Meetings hosted	+	\$	
Nomination filing fee	+	\$	100.00
Office expenses	+	\$	22.98
Phone and/or Internet	+	\$	
Salaries and benefits/honoraria/professional fees	+	\$	
Signs	+	\$	3,412.61
Other (provide full details)			
1. Website	+	\$	1,420.78
2.	+	\$	
3.	+	\$	
Subtotal	=	\$	12,364.36 C2

Expenses Not Subject to Spending Limit			
Accounting and audit	+	\$	452.00
Costs of fund-raising function (from Schedule 2, Part IV)	+	\$	
Expenses related to compliance audit	+	\$	
Expenses related to controverted elections	+	\$	
Expenses related to recounts	+	\$	
Voting day party / appreciation notices	+	\$	
Expenses related to candidate's disability (provide details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	
Other (provide full details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	
Subtotal	=	\$	452.00 C3
Total Campaign Period Expenses (C2) + (C3)	=	\$	12,816.36 C4
Excess (Deficiency) of Income over Expenses (C1) – (C4)	=	\$	

Box D: Statement of Assets and Liabilities as at December 31, 2010

Assets	
Cash - - - - -	+ \$ 0.00
Accounts receivable - - - - -	+ \$
Value of inventory retained (from Schedule 4) - - - - -	+ \$
Other (provide full details)	
1. _____	+ \$
2. _____	+ \$
3. _____	+ \$
Total Assets - - - - -	= \$
Liabilities and Excess (Deficiency) of Income over Expenses	
Accounts payable - - - - -	+ \$ 0.00
Borrowings, overdraft - - - - -	+ \$
Other (provide full details)	
1. _____	+ \$
2. _____	+ \$
3. _____	+ \$
Total Liabilities - - - - -	= \$

Box E: Statement of Determination of Surplus or Deficit and Disposition of Surplus

Part I – Determination of Surplus or Deficit

Amount of excess (deficiency) of income over expenses (from Box C) - - - - -	+ \$	E1
Deduct: Any deficit carried forward by the candidate from immediately preceding election if the offices are with respect to the same jurisdiction - - - - -	- \$	E2
Surplus (or deficit) for the campaign period (E1) – (E2) - - - - -	= \$	
Deduct: Any refund of contributions to the candidate or spouse (only if there is a surplus) - - - - -	- \$	
Total Determination - - - - -	= \$	E3

Part II – Disposition of Surplus

If line E3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Surplus paid to the municipal clerk of the municipality of _____

Box F: Declaration

I, Henry Noble, a candidate in the municipality of Brockville, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

in the City of Brockville

on (yyyy/mm/dd) 2011-03-24

Vicki Bal

Signature of Clerk or Commissioner

2011-03-24

Date Filed in the Clerk's Office (yyyy/mm/dd)

[Signature]
Signature of Candidate

Vicki Baker, a Commissioner, etc.,
Province of Ontario, for the
Corporation of the City of Brockville.
Expires June 3, 2013

Table 2: Monetary contributions from unions or corporations

Name (Legal and Carrying on Business As)	Address	President or Business Manager	Cheque Signatory	Amount
John E Dodge Holdings Ltd		Jack Dodge		\$ 300.00
Semper Paratus Management Inc		Simon Fuller		\$ 250.00
				\$
				\$
				\$
				\$
				\$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total \$

Table 3: Contributions in goods or services (Note: must also be reported as expenses in Box C)

Name	Address	Goods or Services	Amount
			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total \$

Total Part II Contributions

\$ 550.00

Schedule 2 -- Fund-Raising Function

Additional schedule for each event or activity held is/are listed on separate supplementary attachment(s)

Date			Description of event or activity
YYYY	MM	DD	

Admission charge (per person)* (may not exceed individual contribution limit) - - - - - \$ 2A

*If admission charge per person is not consistent, attach complete breakdown of all ticket sales.

Number of tickets sold - - - - - 2B

Part I -- Ticket Revenue

Lines: (2A) x (2B) (include in Schedule 1) - - - - - = \$

Part II -- Other Revenue Deemed A Contribution

Provide full details (e.g., revenue from goods sold in excess of fair market value)

1.	- - - +	\$ <input type="text"/>
2.	- - - +	\$ <input type="text"/>
3.	- - - +	\$ <input type="text"/>
4.	- - - +	\$ <input type="text"/>
5.	- - - +	\$ <input type="text"/>
6.	- - - +	\$ <input type="text"/>
7.	- - - +	\$ <input type="text"/>
8.	- - - +	\$ <input type="text"/>
Total Part II Revenue (include in Schedule 1)	- - - - - =	\$ <input type="text"/>

Part III -- Other Revenue Not Deemed A Contribution

Provide full details (e.g., contributions of \$10 or less; revenue from refreshment sold at cost)

1.	- - - +	\$ <input type="text"/>
2.	- - - +	\$ <input type="text"/>
3.	- - - +	\$ <input type="text"/>
4.	- - - +	\$ <input type="text"/>
5.	- - - +	\$ <input type="text"/>
6.	- - - +	\$ <input type="text"/>
7.	- - - +	\$ <input type="text"/>
8.	- - - +	\$ <input type="text"/>
Total Part III Revenue (include in Box C)	- - - - - =	\$ <input type="text"/>

Part IV -- Expenses Related to Fund-Raising Function

Venue - - - - -	- - - - - +	\$ <input type="text"/>
Event advertising - - - - -	- - - - - +	\$ <input type="text"/>
Food and drink - - - - -	- - - - - +	\$ <input type="text"/>
Entertainment - - - - -	- - - - - +	\$ <input type="text"/>
Other (provide full details)		

1.	- - - +	\$ <input type="text"/>
2.	- - - +	\$ <input type="text"/>
3.	- - - +	\$ <input type="text"/>
4.	- - - +	\$ <input type="text"/>
5.	- - - +	\$ <input type="text"/>
6.	- - - +	\$ <input type="text"/>
7.	- - - +	\$ <input type="text"/>
8.	- - - +	\$ <input type="text"/>
Total Part IV Expenses (include in Box C)	- - - - - =	\$ <input type="text"/>

**Schedule 3 – Inventory of Campaign Goods and Materials (from Previous Campaign)
Used in Candidate's Campaign**

Description	Date Acquired (yyyy/mm/dd)	Supplier	Unit Value	Quantity	Total Value
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
Total Value of Inventory from Previous Campaign Used in Candidate's Campaign					\$

Schedule 4 – Inventory of Campaign Goods and Materials at The End of Campaign

Description	Date Acquired (yyyy/mm/dd)	Supplier	Unit Value	Quantity	Total Value
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
Total Value of Inventory of Campaign Goods and Materials					\$

Auditor's Report**Municipal Elections Act, 1996 (Section 78)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report. The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Professional Designation of Auditor

Chartered Accountant

Municipality

Brockville

Date (yyyy/mm/dd)

2011/03/23

Contact Person

Last Name

Green

First Name

Garry

Licence No.

Address

Suite/Unit No.

C1

Street No.

779

Street Name

Chelsea Street

City/Town

Brockville

Province

On

Postal Code

K6V6J8

Telephone No. (incl. area code)

613-345.2215

ext.

Fax No.

613-342-7668

Email Address

garrygreen@bellnet.ca

GARRY K. GREEN, *Chartered Accountant*

779 CHELSEA COURT, SUITE C1
BROCKVILLE, ONTARIO K6V 6J8

TELEPHONE (613) 342-7546
FAX (613) 342-7668

Auditor's Report on Municipal Candidate's Financial Statement Form 4

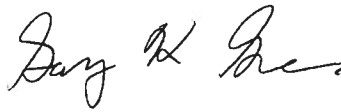
To: Mr Henry Noble

In accordance with the Municipal Elections Act 1996, which requires an audit of the Financial Statement –Form 4 of candidates for fiscal periods when accepted contributions and/or incurred expenses are \$10,000 or more in total, I have audited the Financial Statement Form 4 for the fiscal period ending December 31, 2010, including the Statement of Assets and Liabilities, the Statement of Campaign Period Income and Expenses, the Statement of determination of Campaign Surplus of Deficit, prepared in accordance with generally accepted accounting principles as required by the Municipal Elections Act, 1996. This financial information is your responsibility as the candidate. My responsibility is to express an opinion on this financial information based on my audit.

Except as explained in the following paragraph, I conducted my audit in accordance with Canadian generally accepted auditing standards. Those standards require that I plan and perform an audit to obtain reasonable assurance whether the financial information is free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial information. An audit also includes assessing the accounting principles used and significant estimates made by the candidate as well as evaluating the overall presentation of the financial information. The Act does not, however, require me to report, nor was it practicable for me to determine, that the accounting records include all transactions relating to the campaign.

Due to the inherent nature of the transactions of municipal campaigns, the completeness of contributions and other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, my verification of these transactions was limited to the amounts recorded in the campaign's accounting records.

In my opinion, the Financial Statement form 4 of the campaign of Henry Noble presents fairly, in all material respects, the information contained in the financial records on which it is based in accordance with the accounting requirements of the Municipal Elections Act 1996. Also, in my opinion, the Statement of Assets and Liabilities and the Statement of Revenue and Expenses set out in the Return, present fairly, in all material respects, the financial position of the campaign as at December 31, 2010 and the revenue and expenses for the period then ended in accordance with Canadian generally accepted accounting principles.



Brockville, Ontario
March 23, 2011

Chartered Accountant, Licenced Public Accountant